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Patent, Trademark and Copyright Causes Unfair Competition, Trade Secrets, Licensing and Litigation

\*Practice limited to Matters and Proceedings before Federal Courts and Agencies; not Admitted in DC

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\$1290.00

\*\* Registered Patent Agent: not Admitted in DC ++Limited Rep. at USPTO

### FORM PTO-1082

### TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

New U.S. Patent Application

For: MODULATION DEVICE, MODULATION METHOD AND RECORDING

MEDIUM

Inventor(s):

Harukuni KOBARI et al.

Attorney Docket:

(accounting for small entity status)

25716

#### Commissioner:

TOTAL FILING FEE\*

Attached hereto is the application identified above, including:

Preliminary Amendment	
<u>x</u> Executed Inventor's Declaration	
Unexecuted Inventor's Declaration	
x Assignment and cover sheet	
x Priority Documents six (6)	
$\underline{\mathbf{x}}$ Information Disclosure Statement	
The Government filing fee is calculated as follows:	
Total Claims	00
Independent Claims	00
Base Fee	
Multiple Dependent Claim Fee (\$280.00) \$ 0.	
Subtotal	

_	*Reduced by one-half, as applicant(s) is/are a "small entity". Applicant asserts eligibility for Small Entity Status.
	Benefit is claimed under 35 U.S.C. 119(e) from U.S.  Provisional Patent Application(s) No filed
<u>x</u>	Foreign priority is claimed under 35 U.S.C. 120 from Japanese Patent Application(s) No(s). 2002-2729629, 2002-279752, 2002-279916, 2002-325977, 2002-325975 and 2002-325934 dated respectively September 25, 2002, September 25, 2002, September 25, 2002, November 8, 2002, November 8, 2002 and November 8, 2002.  Priority document(s) will be submitted at a later date.
	x Priority document(s) is/are submitted herewith.
	Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR 1.41 and 1.53, with an appropriate surcharge under 37 CFR 1.16(e).
<u>X</u>	Formal drawing(s) is/are attached.
	Formal drawing(s) will be submitted at a later date.
<u>x</u>	Assignment document(s) is/are submitted herewith; the recordation fee of \$40.00 per document is enclosed herewith.
	Assignment document will be submitted at a later date.
	A Verified Translation will be submitted at a later date.
	No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
<u>x .</u>	Submitted herewith is a check in the amount of \$ 1290.00. The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,

NATH & ASSOCIATES PLIC

Gaty M./Nath

Registration No. 26,965 Marvin C. Berkowitz Registration No. 47,421 Customer No. 20529

Date: September 27, 2003 NATH & ASSOCIATES PLLC 1030 15<sup>TH</sup> Street NW - 6<sup>th</sup> Floor Washington, D.C. 20005 (202)-775-8383

GMN/MCB/ph (1082)

# MAIL STOP PATENT APPLICATION

Attorney Docket No. 25716

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Harukuni KOBARI et al.

Serial No. NOT YET ASSIGNED

Filed:

September 24, 2003

MODULATION DEVICE, MODULATION METHOD AND RECORDING MEDIUM For:

#### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- PTO Form-1082
- 116 page Application consisting of: (2)
  - 82 pages Textual Specification
  - 11 pages of 36 claims
  - 1 page containing the Abstract of the Disclosure
  - 22 sheets drawings
- Executed Declaration/Power of Attorney (3)
- Executed Assignment with cover form (4)
- (5) Request for Priority
- (6) Six (6) Japanese Priority documents
- (7) Information Disclosure Statement
- PTO Form 1449 with two (2) references (8)
- Check No. 19436 \$1290.00 for filing fee and additional claims and additional independent claims as a large entity
- (10) Check No. 19433 \$ 40.00 for recordation fee
- (11) Postcard for early notification of serial number.

Respectfully submitted, NATH & ASSOCIATES PLLC

By:

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# MAIL STOP PATENT APPLICATION

Attorney Docket No. 25716

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Harukuni KOBARI et al.

Serial No. NOT YET ASSIGNED

Filed: September 24, 2003

For: MODULATION DEVICE, MODULATION METHOD AND RECORDING MEDIUM

# REQUEST FOR PRIORITY UNDER 35 U.S.C. §119

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

In the matter of the above-captioned application, notice is hereby given that the Applicant claims as priority dates September 25, 2002, September 25, 2002, November 8, 2002, November 8, 2002, November 8, 2002 and November 8, 2002, the filing dates of the corresponding application filed in JAPAN, bearing Application Numbers 2002-279629, 2002-279751, 2002-279916, 2002-325977, 2002-325975 and 2002-325934.

Certified Copies of the corresponding application are submitted herewith.

By:

Respectfully submitted, NATH & ASSOCIATES PLLC

Date: September 24, 2003

Gary M. Nath Reg. No. 26,965 Marvin C. Berkowitz Reg. No. 47,421

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